

# Office of the Health Ombudsman

Performance report November 2018



Office of the  
**HEALTH  
OMBUDSMAN**

*Listen. Respond. Resolve.*

## Office of the Health Ombudsman—Performance report November 2018

Published by the Office of the Health Ombudsman, December 2018



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## Introduction

This document reports on our performance during November 2018.

As Queensland's health service complaints agency, the Office of the Health Ombudsman (OHO) exists to protect the health and safety of the public by assessing, investigating and resolving complaints made about health services provided in Queensland.

Our vision is to be the cornerstone of a transparent, accountable and fair system for effectively and quickly dealing with complaints and other healthcare matters in Queensland. Our performance reports—which we update and publish monthly, quarterly and yearly—are a testament to this.

We are committed to ensuring that all decisions are well-informed, fair, impartial and timely.

Data in this report is correct as at 7 December 2018, but is subject to change.

# Intake of complaints

## Type of contacts

Type of contact	Number	Percentage
Complaint	685	60.73
Enquiry	435	38.56
Yet to be classified	8	0.71
<b>Total</b>	<b>1128</b>	<b>100.00</b>

'Yet to be classified' includes contacts in which not enough information was provided for a determination to be reached—but further information is being sought—or matters that were not able to be finalised prior to the end of the reporting period. Contacts deemed 'yet to be classified' will be allocated as complaints or enquiries once additional information is received and registered as such in the next reporting period.

The number of complaint contacts will not equal the number of decisions made in the table below.

## Type of complaints

Type of complaints	Number	Percentage
Health consumer complaint	606	88.47
Mandatory notification*	15	2.19
Voluntary notification*	44	6.42
Self-notification*	5	0.73
Referral from another agency	15	2.19
<b>Total</b>	<b>685</b>	<b>100.00</b>

\*Notifications are matters raised by health service providers which do not otherwise meet the definition of a health consumer complaint as required under the *Health Practitioner Regulation National Law (Queensland)* (the National Law).

## Complaint decisions

### Decision timeframes—within seven days

Decision made within seven days of receiving a complaint	Number	Percentage
Yes	573	92.12
No	49	7.88
<b>Total</b>	<b>622</b>	<b>100.00</b>

### Accepted vs not accepted

Number of decisions made	Number	Percentage
Accepted	382	59.04
Not accepted*	240	37.09
Decision pending**	25	3.86
<b>Total</b>	<b>647</b>	<b>100.00</b>

\*'Not accepted' decisions relate to matters in which the Health Ombudsman has decided to take no further action under section 44 of the *Health Ombudsman Act 2013* (the Act).

\*\*'Decision pending' relates to matters where more information is required before a decision on whether to accept or not accept can be made, or because the matter came in just before the end of the reporting period and is still being processed.

An additional 15 matters were determined to fall outside the jurisdiction of the Act.

## Accepted decision outcomes

Type of relevant action	Number	Percentage
Assessment	148	36.72
Local resolution	92	22.83
Conciliation	0	0.00
Investigation	13	3.23
Referral to AHPRA and the national boards	150	37.22
Referral to another entity	0	0.00
Immediate registration action	0	0.00
Interim prohibition order	0	0.00
<b>Total</b>	<b>403</b>	<b>100.00</b>

Accepted decisions may result in multiple issues and/or practitioners being identified, each requiring its own action. The data in the above table includes all identified issues/practitioners requiring action that were identified in the accepted complaints (noted in the previous *Accepted vs not accepted* table).



# Health service complaints profile

## Main issues raised in complaints

Issue	Number	Percentage
Access	58	6.49
Code of conduct for healthcare workers	7	0.78
Communication/information	116	12.99
Consent	20	2.24
Discharge/transfer arrangements	14	1.57
Environment/management of facilities	16	1.79
Fees/cost	32	3.58
Grievance processes	16	1.79
<i>Health Ombudsman Act 2013 offence</i>	0	0.00
Medical records	20	2.24
Medication	88	9.85
Professional conduct	90	10.08
Professional health	17	1.90
Professional performance	369	41.32
Reports/certificates	30	3.36
Research/teaching/assessment	0	0.00
<b>Total</b>	<b>893</b>	<b>100.00</b>

These figures are based on issues recorded during the reporting period. A single complaint can contain multiple issues. Reporting on all issues identified in complaints allows comprehensive monitoring of trends, which can in turn inform a range of health complaint management system functions, such as education and information provision.

## Number and type of issues identified in complaints by health practitioner

Practitioner type	Access	Code of conduct for healthcare workers	Communication and information	Consent	Discharge/transfer arrangements	Environment/management of facility	Fees and costs	Grievance process	Health Ombudsman Act 2013 Offence	Medical records	Medication	Professional conduct	Professional health	Professional performance	Reports/certificates	Research/Teaching/Assessment	Total
Alternative care	-	2	-	-	-	-	-	-	-	-	-	-	-	1	-	-	3
Chinese medicine	-	-	-	-	-	-	-	-	-	-	-	3	-	1	-	-	4
Chiropractor	-	-	-	1	-	-	-	-	-	1	-	-	-	-	-	-	2
Dentistry	-	-	1	-	-	-	1	-	-	1	-	5	-	16	-	-	24
Emergency care	-	-	-	-	-	-	-	-	-	-	-	-	-	1	-	-	1
General medical	5	-	41	2	1	-	4	-	-	5	25	25	4	79	13	-	204
Medical radiation	-	-	1	-	-	-	-	-	-	-	-	-	-	1	1	-	3
Medical specialty	-	-	7	1	-	-	1	-	-	4	6	2	1	17	3	-	42
Nursing	-	-	4	-	-	-	-	-	-	2	10	20	9	15	-	-	60
Occupational therapy	-	-	-	-	-	-	-	-	-	-	-	-	-	2	-	-	2
Optometry	-	-	-	-	-	-	-	-	-	-	-	-	-	3	-	-	3
Osteopathy	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0
Other	-	2	2	-	-	-	2	-	-	-	1	7	1	1	-	-	16
Pathology service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0
Pharmacy	-	-	1	-	-	-	-	1	-	-	6	2	1	1	-	-	12
Physiotherapy	-	-	-	-	-	-	-	-	-	-	-	1	-	1	-	-	2
Podiatry	-	-	-	-	-	-	1	-	-	-	-	-	-	2	-	-	3
Psychology	1	1	4	-	-	-	1	-	-	1	-	7	1	3	5	-	24
Speech pathology	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1	-	1
Surgical	1	-	1	2	-	1	1	-	-	-	1	-	-	12	1	-	20
<b>Total</b>	<b>7</b>	<b>5</b>	<b>62</b>	<b>6</b>	<b>1</b>	<b>1</b>	<b>11</b>	<b>1</b>	<b>0</b>	<b>14</b>	<b>49</b>	<b>72</b>	<b>17</b>	<b>156</b>	<b>24</b>	<b>0</b>	<b>426</b>

These figures are based on issues recorded during the reporting period. A single complaint can contain multiple issues.

## Number and type of issues identified in complaints by health service organisation

Organisation type	Access	Code of conduct for healthcare workers	Communication and information	Consent	Discharge/transfer arrangements	Environment/management of facility	Fees and costs	Grievance processes	Health Ombudsman Act 2013 Offence	Medical records	Medication	Professional conduct	Professional health	Professional performance	Reports/certificates	Research/Teaching/Assessment	Total
Aged care facility	-	-	1	-	-	1	-	1	-	-	4	-	-	6	-	-	13
Allied health service	-	-	-	-	-	-	1	1	-	-	-	-	-	1	-	-	3
Ambulance service	-	-	-	-	-	-	1	-	-	-	-	-	-	2	-	-	3
Community health service	2	-	3	1	-	-	-	-	-	-	1	-	-	2	-	-	9
Correctional facility	13	-	-	-	-	-	-	-	-	-	23	-	-	43	-	-	79
Dental service	4	-	-	-	-	1	2	2	-	-	-	2	-	5	-	-	16
Hospital and Health Service	2	-	2	-	1	-	-	-	-	-	-	-	-	2	-	-	7
Laboratory service	-	-	-	-	-	-	2	-	-	-	-	-	-	1	-	-	3
Licensed private hospital	4	-	5	-	3	1	3	1	-	-	2	-	-	12	-	-	31
Medical centre	9	-	9	1	-	3	3	1	-	-	1	3	-	6	2	-	38
Mental health service	4	-	4	8	4	2	1	1	-	2	2	3	-	15	2	-	48
Nursing service	-	-	-	-	-	1	-	-	-	-	-	-	-	-	-	-	1
Other support service	-	-	-	-	-	-	2	-	-	-	-	3	-	3	-	-	8
Pharmaceutical service	-	-	1	-	-	-	-	1	-	-	3	-	-	-	-	-	5
Private organisation	-	-	2	-	-	-	-	-	-	-	-	1	-	2	-	-	5
Public health service	1	-	-	-	-	-	-	-	-	-	-	-	-	4	-	-	5
Public hospital	12	-	26	4	5	5	2	5	-	4	3	6	-	105	2	-	179
Specialised health service	-	2	1	-	-	1	4	2	-	-	-	-	-	2	-	-	12
Administrative service	-	-	-	-	-	-	-	-	-	-	-	-	-	1	-	-	1
Optical store	-	-	-	-	-	-	-	-	-	-	-	-	-	1	-	-	1
<b>Total</b>	<b>51</b>	<b>2</b>	<b>54</b>	<b>14</b>	<b>13</b>	<b>15</b>	<b>21</b>	<b>15</b>	<b>0</b>	<b>6</b>	<b>39</b>	<b>18</b>	<b>0</b>	<b>213</b>	<b>6</b>	<b>0</b>	<b>467</b>

These figures are based on issues recorded during the reporting period. A single complaint can contain multiple issues.

# Assessment

## Assessments started and completed

Assessments this month	Number
Assessments started	158
Assessments completed	178

## Completed assessment timeframes

Of the 178 assessments finalised in November, all were completed within 30 days or 60 days with an approved extension, representing a finalisation rate within statutory timeframes of 100 per cent.

Of the 51 assessments completed within 60 days, all matters were approved for extension.

Assessment timeframes	Number	Percentage
Completed within 30 days	127	71.35
Completed within 60 days*	51	28.65
Completed in more than 60 days	0	0.00
<b>Total</b>	<b>178</b>	<b>100.00</b>

\*Assessments are able to be completed within 60 days when granted an extension of 30 days as a result of legislated requirements being met.

## Assessment decisions

Type of relevant action	Number	Percentage
Local resolution	0	0.00
Conciliation	3	1.64
Investigation	4	2.19
Referral to AHPRA and the national boards	13	7.10
Referral to another entity	81	44.26
Immediate registration action*	0	0.00
Interim prohibition order*	0	0.00
No further action	82	44.81
<b>Total</b>	<b>183</b>	<b>100.00</b>

Total assessment decisions will not equal the total number of assessments (in previous tables) as a single assessment can result in multiple relevant actions.

The figures for the type of relevant action decided in the assessment stage may not correspond with totals for respective relevant actions (e.g. local resolution, conciliation, investigation) due to the time between a decision being made and an action being taken crossing over different reporting periods.

\*Immediate action assessment decision figures may not align with the immediate action figures later in the report due to immediate action decisions being made outside of the assessment process.

# Local resolution

## Local resolutions started and completed

Local resolutions this month	Number
Local resolutions started	92
Local resolutions completed	137

The number of local resolutions started in the month may not directly match the number of assessment decisions to undertake local resolution due to the time between a decision being made and an action taken crossing over different reporting periods.

## Completed local resolutions

### Timeframes

Of the 137 local resolutions finalised in November, 135 were completed within 30 days or 60 days with an approved extension, representing a finalisation rate within statutory timeframes of 98.54 per cent.

Of the 18 local resolution matters completed within 60 days, 17 were approved for extension.

We strive to finalise all matters within legislated timeframes, however, some can take longer than others due to factors outside of our control, such as delays in receiving information and responses from individual parties.

Local resolution timeframes	Number	Percentage
Completed within 30 days	118	86.13
Completed within 60 days*	18	13.14
Completed in more than 60 days	1	0.73
<b>Total</b>	<b>137</b>	<b>100.00</b>

\*Local resolutions are able to be completed within 60 days when granted an extension of 30 days as a result of legislated requirements being met.

## Outcomes

Local resolution outcomes	Number	Percentage
Resolved	114	83.21
Not resolved	10	7.30
Complaint withdrawn*	11	8.03
Local resolution did not commence**	2	1.46
<b>Total</b>	<b>137</b>	<b>100.00</b>

\*Complainants can choose to withdraw their complaint at any stage during local resolution.

\*\*A local resolution may not commence where the complaint is resolved directly with the health care provider prior to the commencement of the process.

## Decisions for matters that were not resolved

Type of relevant action	Number	Percentage
Assessment	0	0.00
Conciliation	0	0.00
Investigation	0	0.00
Referral to AHPRA and the national boards	1	10.00
Referral to another entity	0	0.00
Immediate action	0	0.00
No further action	9	90.00
<b>Total</b>	<b>10</b>	<b>100.00</b>

# Conciliation

## Conciliations started and closed

Conciliations this month	Number
Conciliations open at the start of the month	35
Conciliations started	6
Conciliations closed	7

The number of conciliations started in the reporting period may not match the number of decisions to refer for conciliation noted in other areas of the report due to the time between a decision being made and an action taken crossing over different reporting periods.

'Conciliations started' includes all matters—including matters where agreement to participate has or has not been reached or the decision is pending—that entered the conciliation workflow during the reporting period following the OHO assessing them as being suitable for conciliation. Similarly, 'conciliations closed' are all matters that were closed during the reporting period, whether due to parties not agreeing to participate or the matter being closed after completing the conciliation process. Closed conciliations differ from completed conciliations below, as completed conciliations only include matters where both parties agreed to participate and the conciliation process was completed.

## Agreement to participate in conciliation

Agreement to participate in conciliation	Number
Parties agreed to conciliation	3
Party/ies did not agree to conciliation	3
Decisions pending at end of month	15

Once the decision is made to attempt conciliation, both parties must agree to participate in the process. If either one, or both, of the parties do not agree, the conciliation process does not commence and the matter is closed.

'Decisions pending' includes matters where parties are still deciding whether to participate in conciliation at the end of the reporting period. It also includes matters that have been referred to another relevant action, where conciliation may not commence until this relevant action has been finalised.



## Completed conciliations

### Timeframes

Completed conciliation timeframes	Number	Percentage
Less than 3 months	1	20.00
3–6 months	3	75.00
6–9 months	0	0.00
9–12 months	0	0.00
More than 12 months	0	0.00
<b>Total</b>	<b>4</b>	<b>100.00</b>

The data above relates to matters where parties agreed to participate in conciliation and the conciliation process was completed within the specified timeframes. 'Completed conciliations' differ from 'closed conciliations'—in the table on page 15—as they only relate to matters where parties agreed to participate and the conciliation process was completed.

### Outcomes

Conciliation outcomes	Number	Percentage
Successful	4	100.00
Not successful	0	0.00
Ended by Health Ombudsman	0	0.00
<b>Total</b>	<b>4</b>	<b>100.00</b>

The data above relates to matters where parties agreed to participate in conciliation. After agreeing, the conciliation process was completed with the matter either being successful or not successful—or in rare instances, the Health Ombudsman ending it. 'Completed conciliations' differ from 'closed conciliations'—in the table on page 15—in that they only relate to matters where parties agreed to participate and the conciliation process was completed.

## Decisions for conciliations that were not successful

Type of relevant action	Number	Percentage
Local resolution	0	0.00
Investigation	0	0.00
Referral to AHPRA and the national boards	0	0.00
Referral to another entity	0	0.00
Immediate action	0	0.00
No further action	0	0.00
<b>Total</b>	<b>0</b>	<b>0.00</b>

## Open conciliation timeframes

Open conciliation timeframes	Number	Percentage
Less than 3 months	16	47.06
3–6 months	12	35.29
6–9 months	2	5.88
9–12 months	1	2.94
More than 12 months	3	8.82
<b>Total</b>	<b>34</b>	<b>100.00</b>

Matters can be referred simultaneously to conciliation and another relevant action. Conciliation may not commence until after the other relevant action has been finalised.

There are 9 matters on hold until the outcome of another process is finalised (e.g. the process of another agency such as AHPRA). This includes 2 matters that have been open for less than 3 months, 3 that have been open for 3–6 months, 0 that has been open for 6 – 9 months, 1 that has been open for 9–12 months, and 3 that have been open for more than 12 months.

To allow for continued transparency in the timeliness of conciliation processes, open conciliation timeframes include the time in which a matter was on hold whilst another process was finalised.

# Investigation

## Investigations started and closed

Investigations this month	Number
Investigations open at the beginning of the month	156
Investigations started	15
Investigations closed	17
Investigations amalgamated under section 40(2)*	4

The number of investigations started in the reporting period may not match the number of assessment decisions to undertake investigation due to the time between a decision being made and an action taken crossing over different reporting periods, or due to investigations being started via other processes (e.g. own-motion investigation).

\*Matters that involve similar allegations against a health service provider may be combined and dealt with together under section 40(2) of the Act.

## Closed investigations

In November, 82.35 percent of investigations were closed within twelve months of commencement.

### Timeframes

Closed investigation timeframes	Number	Percentage
Less than 3 months	3	17.65
3–6 months	4	23.53
6–9 months	2	11.76
9–12 months	5	29.41
12–24 months	2	11.76
More than 2 years	1	5.88
<b>Total</b>	<b>17</b>	<b>100.00</b>

## Outcomes

Closed investigation outcomes	Number	Percentage
Recommended for referral to Director of Proceedings*	4	21.05
Referral to AHPRA	6	31.58
Referral to another agency	1	5.26
No further action	8	42.11
Referral to conciliation	0	0.00
<b>Total</b>	<b>19</b>	<b>100.00</b>

\*Matters determined suitable for referral to the Director of Proceedings at the conclusion of an investigation are sent to the Health Ombudsman for consideration and determination on whether referral to the Director of Proceedings is appropriate.

## Open investigations

Open investigations consist of two categories—active investigations and paused investigations.

Active investigations are being currently investigated by the office, while paused investigations are not able to be investigated by the office until such time as another agency—such as the Queensland Police Service or the Coroners Court of Queensland—concludes their own processes. Despite the office being unable to progress paused investigations, they are still considered to be open investigations.

### Active investigation timeframes

Active investigation timeframes	Number	Percentage
Less than 3 months	36	32.43
3–6 months	32	28.83
6–9 months	12	10.81
9–12 months	9	8.11
12–24 months*	14	12.61
More than 2 years*	8	7.21
<b>Total</b>	<b>111</b>	<b>100.00</b>

\*All investigations that have been open for more than 12 months are published on the investigations register on the OHO website.

## Paused investigation timeframes

Paused investigation timeframes	Number	Percentage
Less than 3 months	2	5.13
3–6 months	8	20.51
6–9 months	4	10.26
9–12 months	8	20.51
12–24 months	13	33.33
More than 2 years	4	10.26
<b>Total</b>	<b>39</b>	<b>100.00</b>

## Total open investigation timeframes

Total open investigation timeframes	Number	Percentage
Less than 3 months	38	25.33
3–6 months	40	26.67
6–9 months	16	10.67
9–12 months	17	11.33
12–24 months	27	18.00
More than 2 years	12	8.00
<b>Total</b>	<b>150</b>	<b>100.00</b>

## Total open investigation categories

Type of investigation	Number
Health service complaint	84
Systemic issue	11
Another matter*	55
Matters identified for further investigation**	0
<b>Total</b>	<b>150</b>

\*Matters that are brought to the Health Ombudsman's attention by means other than through a health service complaint or notification.

\*\*Matters referred for further investigation by the Health Ombudsman under section 105 of the Act following referral to Director of Proceedings.

## Monitoring investigation recommendations

The OHO monitors the implementation of recommendations made as an outcome of two types of investigation processes—recommendations made as a result of an OHO investigation and recommendations made as a result of a health service provider investigation.

### *OHO recommendations*

At the completion of certain investigations, the Health Ombudsman makes recommendations to health service providers for how they can improve service delivery and/or prevent the issues identified in the investigation from happening again. In these instances, the OHO puts in place a recommendations monitoring program to track the implementation of the recommendations.

### *Health service provider recommendations*

In addition, a health service provider may conduct its own investigation, or engage another entity to conduct an independent investigation, resulting in recommendations for improvement. The Health Ombudsman may decide to monitor the implementation of these recommendations.

### OHO recommendations monitoring

Cases this month	Number
Cases open at the beginning of the month	5
Recommendations monitoring cases started	0
Recommendations monitoring cases closed	0

### Health service provider recommendations monitoring

Cases this month	Number
Cases open at the beginning of the month	0
Recommendations monitoring cases started	0
Recommendations monitoring cases closed	0

### Open recommendations monitoring timeframes

Open case timeframes	Number	Percentage
Less than 6 months	0	0.00
6–12 months	3	60.00
More than 12 months	2	40.00
<b>Total</b>	<b>5</b>	<b>100.00</b>

## Director of Proceedings

### Matters referred to the Director of Proceedings by practitioner type

Practitioner type	Number	Percentage
Medical Practitioner	2	50.00
Registered Nurse	1	25.00
Osteopath	1	25.00
<b>Total Registered</b>	<b>4</b>	<b>100.00</b>

Matters determined suitable for referral to the Director of Proceedings at the conclusion of an investigation are first sent to the Health Ombudsman for consideration and determination on whether referral to the Director of Proceedings is appropriate. As a result, these figures will differ from 'closed investigation outcomes' figures.



## Matters currently with the Director of Proceedings by practitioner type

Practitioner type	Number	Percentage
Chinese Medicine Practitioner	3	1.76
Dentist	7	4.12
Medical Practitioner*	56	33.00
Medical Radiation Practitioner	2	1.18
Osteopath	1	0.59
Pharmacist	11	6.47
Physiotherapist	1	0.59
Podiatrist	2	1.18
Psychologist	8	4.71
Registered Nurse	59	34.71
Advanced Care Paramedic	2	1.18
Aged Care Health Worker	1	0.59
Assistant in Nursing	5	2.94
Audiologist	2	1.18
Dental Assistant	1	0.59
Holding Out Psychologist	1	0.59
Holding Out Registered Nurse	2	1.18
Massage Therapist	2	1.18
Natural Therapist	1	0.59
Social Worker	1	0.59
Student Nurse	1	0.59
Unregistered Chiropractor	1	0.59
<b>Total</b>	<b>170</b>	<b>100.00</b>

## Outcomes of matters reviewed by Director of Proceedings

### Matters filed in in the Queensland Civil and Administrative Tribunal

Practitioner type	Number	Percentage
Registered Nurse	3	100.00
<b>Total</b>	<b>3</b>	<b>100.00</b>

### Matters to be referred back to the Health Ombudsman

Practitioner type	Number	Percentage
Medical Practitioner	2	33.33
Registered nurse	4	66.77
<b>Total</b>	<b>6</b>	<b>100.00</b>

## Offences against the Health Ombudsman Act 2013

The *Health Ombudsman Act 2013* (the Act) specifies a number of breaches of the Act which constitute either a summary or indictable offence.

Where there is evidence of such a breach, a matter may be referred to the Executive Director, Legal Services, to commence prosecution within the courts.

No matters were referred for summary prosecution in October.

## Immediate action

The Act allows for immediate action to be taken against a registered or unregistered health practitioner if the Health Ombudsman reasonably believes:

- that a practitioner’s health, conduct or performance poses a serious risk to the health and safety of the public

or

- that action is in the public interest.

## Show cause notices

In November, seven show cause notices were issued to:

- Four relating to medical practitioners
- One relating to a registered nurse
- One relating to a unregistered health support worker
- One relating to an assistant in nursing

## Immediate registration actions

Practitioner type	Number	Action taken	Reasons/s for taking action		
			Health	Conduct	Performance
Medical practitioner	2	Conditions		✓	✓

Immediate registration actions apply only to registered practitioners and may result in the Health Ombudsman issuing a suspension—or imposing conditions upon—a registered practitioner’s registration.

## Interim prohibition orders

Practitioner type	Number	Action taken	Reasons/s for taking action			
			Health	Conduct	Performance	Interstate
Kinesiologist	1	Restrictions		✓		
Health support worker	1	Restrictions		✓		

The Health Ombudsman has powers to issue interim prohibitions orders to unregistered practitioners and registered practitioners practicing outside their field of registration. An interim prohibition order can prohibit or restrict the practitioner from providing any health service, or a specific health service.

The Health Ombudsman can also enforce a prohibition order or an interim prohibition order issued in another state or territory where that interstate prohibition order corresponds—or substantially corresponds—to the type of prohibition order that can be made in Queensland.

## Monitoring practitioner compliance

When the Health Ombudsman takes immediate action against a health practitioner, the office monitors the practitioner's compliance with the conditions of the order.

For interim prohibition orders, this means monitoring compliance with the restriction(s) on or prohibition of service. For immediate registration actions, this means monitoring compliance with condition(s) on or suspension of a practitioner's registration.

The Health Ombudsman may take immediate action against a single practitioner with both immediate registration action and an interim prohibition order. This can occur, for example, in instances where there is a risk that a registered practitioner may also practice in an unregistered capacity.

### Practitioner monitoring cases

Cases this month	Number
Cases open at the beginning of the month	97
Practitioner monitoring cases started	5
Practitioner monitoring cases closed	1

### Open monitoring cases

#### Timeframes

Open case timeframes	Number	Percentage
Less than 6 months	24	23.76
6–12 months	20	19.80
More than 12 months	57	56.44
<b>Total</b>	<b>101</b>	<b>100.00</b>

#### Immediate action types

Open cases by immediate action type	Number	Percentage
Interim prohibition order—restrictions	19	18.81
Interim prohibition order—prohibition	42	41.58
Immediate registration action—conditions	21	20.79
Immediate registration action—suspension	18	17.82
QCAT issued prohibition/restrictions	1	0.99

Open cases by immediate action type	Number	Percentage
<b>Total</b>	<b>101</b>	<b>100.00</b>

### Registered practitioners under monitoring by practitioner type

Open cases by practitioner type	Number	Percentage
Aboriginal and Torres Strait Islander health worker	0	0.00
Chinese medicine practitioner	1	1.89
Chiropractor	1	1.89
Dental practitioner	3	5.56
Medical practitioner	13	24.53
Medical radiation practitioner	0	0.00
Nursing and midwifery practitioner	31	58.49
Occupational therapist	0	0.00
Optometrist	0	0.00
Osteopath	0	0.00
Pharmacist	0	0.00
Physiotherapist	2	3.77
Podiatrist	0	0.00
Psychologist	2	3.77
<b>Total</b>	<b>53</b>	<b>100.00</b>

These figures are based on the number of individual registered practitioners being monitored by the OHO during the reporting period. As a single practitioner may be monitored in relation to more than one immediate action, these figures may not match the total number of open monitoring cases.

## Unregistered practitioners under monitoring by type

Open cases by practitioner type	Number	Percentage
Aboriginal and Torres strait islander health worker	1	2.22
Aged care worker	1	2.22
Assistant in nursing	8	17.78
Audiologist	2	4.44
Counsellor	1	2.22
Dental assistant	1	2.22
Dental nurse	1	2.22
Former nurse	1	2.22
Health support worker	1	2.22
Holding out*	4	8.89
Kinesiologist	2	4.44
Massage therapist	13	28.89
Medical assistant	1	2.22
Naturopath	1	2.22
Natural therapist	1	2.22
Paramedic	4	8.89
Personal carer	1	2.22
Social worker	1	2.22
<b>Total</b>	<b>45</b>	<b>100.00</b>

These figures are based on the number of individual unregistered practitioners being monitored by the OHO during the reporting period. As a single practitioner may be monitored in relation to more than one immediate action, these figures may not match the total number of open monitoring cases.

\*Certain titles of registered health professions are protected under the National Law. Anyone who uses a protected title (e.g. medical practitioner), without being registered for that profession, are classified as 'holding out' as a practitioner of that profession.

# Australian Health Practitioner Regulation Agency (AHPRA)

## Notifications from AHPRA

The office received no new notifications (s193 of the Act) relating to a possible serious matter for the month of October, while 1 matters was requested for referral back to the OHO.

## Consultation on matters

The office consults with AHPRA on matters that are considered to be appropriate for AHPRA to manage. For matters the office is considering referring to AHPRA under section 91 of the Act, the office provides AHPRA with all necessary information in order for AHPRA to form a view as to whether they need to discuss or accept and progress the referral.

For complex cases or where a pattern of conduct may be present the office may hold case conferences with AHPRA, either in person or electronically, which can sometimes delay the consultation process. By encouraging robust conversations during this process productive and consistent decisions between the core regulatory agencies is achieved.

Consultation matters	Number
Matters consulted on*	196
Matters referred	189
Matters retained by the office**	7
Decision pending	14

\*The number of matters consulted on may not equal the total number of matters referred, withdrawn and pending as a matter may have commenced consultation prior to the start of the reporting period.

\*\*Under certain circumstances additional information may be received in the course of consultation resulting in the office retaining carriage of the matter and/or taking other relevant action.

## Relevant action proposing referral

Relevant action	Number	Percentage
Intake and triage	155	79.08
Assessment	25	12.76
Conciliation	0	0.00
Local resolution	4	2.04
Internal review	0	0.00
Investigation	12	6.12
<b>Total</b>	<b>196</b>	<b>100.00</b>

## Age of matters on commencement of consultation

In order to prevent duplication of work, the office aims to ensure that matters are referred to AHPRA as early as possible in the complaint management process.

Due to the type of matters in which investigation or conciliation is deemed appropriate, and the more time intensive nature of these processes, these matters are usually older when consultation commences.

Relevant action	0–7 days	8–14 days	15–30 days	31–60 days	More than 60 days
Intake and triage	155	0	0	0	0
Assessment	1	1	10	13	0
Local resolution	0	0	0	3	1
Conciliation	0	0	0	0	0
Internal review	0	0	0	0	0
Investigation	1	0	2	1	8
<b>Total</b>	<b>157</b>	<b>1</b>	<b>12</b>	<b>17</b>	<b>9</b>

'Age of matters' is calculated from the date on which a matter was accepted by the office.



## Consultation duration

Consultation duration	Number	Percentage
0–3 days	177	90.31
4–7 days	18	9.18
8–11 days	1	0.51
More than 12 days	0	0.00
<b>Total</b>	<b>196</b>	<b>100.00</b>

## Number of practitioners referred to AHPRA by practitioner type

Practitioner type	Number	Percentage
Aboriginal and Torres Strait Islander health practitioner	0	0.00
Chinese medicine practitioner	0	0.00
Chiropractor	0	0.00
Dental practitioner	15	7.94
Medical practitioner	107	56.61
Medical radiation practitioner	0	0.00
Nursing and midwifery practitioner	48	25.40
Occupational therapist	0	0.00
Optometrist	0	0.00
Osteopathy	0	0.00
Pharmacist	9	4.76
Physiotherapy	2	1.06
Podiatrist	1	0.53
Psychologist	7	3.70
Unregistered practitioner	0	0.00
<b>Total</b>	<b>189</b>	<b>100.00</b>

## Number of issues referred to AHPRA by practitioner type

Registered practitioner type	Access	Communication and information	Consent	Discharge/transfer arrangements	Environment/management of facility	Fees and costs	Grievance process	Medical records	Medication	Professional conduct	Professional health	Professional performance	Reports/certificates	Total
Aboriginal and Torres Strait Islander health practitioner	-	-	-	-	-	-	-	-	-	-	-	-	-	0
Chinese medicine practitioner	-	-	-	-	-	-	-	-	-	-	-	-	-	0
Chiropractor	-	-	-	-	-	-	-	-	-	-	-	-	-	0
Dental practitioner	-	-	-	-	-	-	-	1	-	4	-	12	-	17
Medical practitioner	1	16	2	3	-	1	1	5	16	17	5	72	5	144
Medical student	-	-	-	-	-	-	-	-	-	-	-	-	-	0
Medical radiation practitioner	-	-	-	-	-	-	-	-	-	-	-	-	-	0
Nursing and midwifery practitioner	-	4	-	-	-	-	-	3	8	19	11	15	-	60
Nursing student	-	-	-	-	-	-	-	-	-	-	-	-	-	0
Occupational therapist	-	-	-	-	-	-	-	-	-	-	-	-	-	0
Optometrist	-	-	-	-	-	-	-	-	-	-	-	-	-	0
Osteopath	-	-	-	-	-	-	-	-	-	-	-	-	-	0
Pharmacist	-	1	-	-	-	-	1	6	-	2	2	1	-	13
Physiotherapist	-	-	-	-	-	-	-	-	-	-	-	2	-	2
Podiatrist	-	-	-	-	-	-	-	-	-	-	-	1	-	1
Psychologist	-	2	-	-	-	-	-	-	-	4	1	3	1	11
Unregistered practitioner	-	-	-	-	-	-	-	-	-	-	-	-	-	0
<b>Total</b>	<b>1</b>	<b>23</b>	<b>2</b>	<b>3</b>	<b>0</b>	<b>1</b>	<b>2</b>	<b>9</b>	<b>30</b>	<b>46</b>	<b>19</b>	<b>106</b>	<b>6</b>	<b>248</b>

The figures above represent the number of issues referred to AHPRA, not the number of practitioners. The referral of a single practitioner may include multiple issues relating to that practitioner, with each issue requiring its own action.

# Demographics

## Gender

Gender	Number	Percentage
Female	273	48.06
Male	282	49.65
Prefer not to specify	2	0.35
Unknown*	11	1.94
<b>Total</b>	<b>568</b>	<b>100.00</b>

\*Not recorded or not provided for a particular matter.

## Age

Age	Number	Percentage
Less than 18 years	34	5.99
19–24 years	35	6.16
25–34 years	106	18.66
35–44 years	120	21.13
45–54 years	89	15.67
55–64 years	70	12.32
65–74 years	50	8.80
More than 75 years	37	6.51
Unknown*	27	4.75
<b>Total</b>	<b>568</b>	<b>100.00</b>

\*Not recorded or not provided for a particular matter.

## Location of healthcare consumers

Location of healthcare consumers	Number	Percentage
Brisbane	244	42.96
Central West	3	0.53
Darling Downs	20	3.52
Far North	31	5.46
Fitzroy	28	4.93
Gold Coast	66	11.62
Mackay	12	2.11
North West	2	0.35
Northern	23	4.05
South West	0	0.00
Sunshine Coast	41	7.22
West Moreton	15	2.64
Wide Bay–Burnett	36	6.34
Outside Queensland	22	3.87
Unknown*	25	4.40
<b>Total</b>	<b>568</b>	<b>100.00</b>

\*Not recorded or not provided for a particular matter.

## Location of health service providers

Location of health service providers	Number	Percentage
Brisbane	263	41.35
Central West	1	0.16
Darling Downs	34	5.35
Far North	35	5.50
Fitzroy	26	4.09
Gold Coast	67	10.53
Mackay	10	1.57
North West	1	0.16
Northern	33	5.19
South West	1	0.16
Sunshine Coast	42	6.60
West Moreton	11	1.73
Wide Bay-Burnett	34	5.35
Outside Queensland*	9	1.42
Unknown**	69	10.85
<b>Total</b>	<b>636</b>	<b>100.00</b>

\*Health service provider location is taken from the primary address of the provider recorded in the OHO complaints management system. Complaints can be made about health service providers from other states who have provided health services in Queensland. This could include locums travelling to Queensland from interstate or providers who previously lived in Queensland providing services but have since moved interstate—as the OHO can deal with complaints up to two years old.

\*\*Not recorded or not provided for a particular matter.



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